STREAM

STREAM STUDENT SUMMIT | FRIDAY, FEBRUARY 18, 2022 | 2-6 pm

THE SMU COX SCHOOL OF BUSINESS: COLLINS CENTER

Registration Link

Registration Link

info@streamdallas.org| https://www.streamdallas.org/

AGENDA

Check In **The SMU Cox School of Business: Collins Center**

2:00-2:10 **Welcoming Remarks / Opening Session**
Jason Rife - Senior Assistant Dean of Career Management Center and Graduate Admissions, SMU Business School
Ellis Thomas- Executive Director, STREAM Foundation

2:10-2:45 **Investment Banking / Prime Brokerage Roundtable**
Moderator: Katie Dillard - COO and Director of Partner Relations, Hudson Way Capital Management
Panelists:
Alice Kennon - Managing Director, Jefferies
Stephanie Cadet, Director, UBS Securities

2:45-3:15 **Financial Planning**
Kevin Thompson- President/CEO, 9Innings Capital Group
Daryl Chambers- Financial Professional, 9Innings Capital Group

3:15-3:25 **Coffee Break**

3:25-4:05 **Asset Manager Roundtable**
Moderator: Dawn Blankenship - Co-Founder/COO, Socorro Asset Management
Panelists:
Ken Lee - Chief Investment Officer, Children's Health
Laurie Katz- Partner/US Business Development, GoldenTree Asset Management
Quincy Brown - Senior Vice President, FEG Investment Advisors

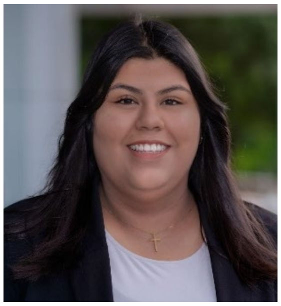
4:05-4:45 **Asset Manager Roundtable**
Moderator: Andrew Rosell- Shareholder, Winstead PC
Panelists:
Ryan Bailey- Co-Founder, Carbonado Partners
Stephen Thomas- Founder, Webs Creek Capital; Co-Founder, STREAM Foundation

4:45-4:55 **Keynote Speakers**
Ana Hernandez: Recruitment Analyst, Aksia; Digital Marketing Manager, STREAM Foundation
Mark Murillo: Crestline Investments Intern; Director of Alumni, STREAM Foundation

4:55-5:00 **Closing Remarks**
Ellis Thomas- Executive Director, STREAM Foundation

5:00-6:00 **Networking Hour/ Career Fair (Optional- Post Event Hour, In-Person Only)**
Recruiting Companies: BWCP, Canyon Partners, FEG Investment Advisors, Fidelity Investments, Global Endowment Management, Hudson Way, Lido Advisors, Regan Capital, SMU Cox School of Business, STREAM Foundation, Teacher Retirement System of Texas

Keynote Speakers



Ana Hernandez is a former alumna and current Digital Marketing Manager for STREAM. She works as a Recruiting and Human Resources Coordinator for Sanne, where she handles employee relations, college recruiting, and talent acquisition. Ana is a senior majoring in both management and marketing at the University of Texas at Arlington. She is a member of UTA SHRM and current president of UTA ALPFA, where she works to increase the representation of underrepresented students in business. Ana plans to attend graduate school and work her way through a career that allows her to express both her interests in managing a company while bringing diversity in the workforce and advocating for women and other underrepresented groups in the asset management field. Ana will join Aksia post-graduation as a Recruitment Analyst for their New York Office.

Mark Murillo is a STREAM alumnus who interned at Hudson Way Capital Management. Mark is currently an analyst intern at Crestline Investors on the credit and opportunistic side, where he assists with company due diligence. During his undergraduate studies, he was a member of his university's student-managed investment fund, where he gained practical hands-on experience. In addition, Mark currently serves as the STREAM Director of Alumni.

Mark is currently pursuing his Masters of Science in Finance at Southern Methodist University, and he holds a BBA in finance from the University of Texas at Arlington. After receiving his master's degree, Mark intends to pursue a career in asset management and begin as an investment analyst. Mark wishes to give back to the community and inspire other young students in the same way that STREAM has done for him. Mark was born and raised in Dallas, Texas, specifically in the Oakcliff area. In his spare time, he enjoys playing chess, making music, and reading.

Speaker Bios

Jason Rife is the Senior Assistant Dean of the Career Management Center and Graduate Admissions at SMU's Cox School of Business. He graduated with his BBA from Texas Tech University and worked with ExxonMobil in project management and finance before completing his MBA at Duke University's Fuqua School of Business. Post-MBA, Jason moved into strategy and marketing roles with John Deere and later became a strategy consultant with McKinsey & Company. At both Deere and McKinsey, Jason volunteered to serve on the MBA recruiting teams, interviewing candidates and supporting the identification and onboarding of talent from top-tier business schools.

Jason's love of recruiting and coaching students led him to make the jump from consulting to higher education, joining the University of Florida as an MBA career coach and eventually leading their graduate business career services team. In 2019, Jason returned to Texas as a part of the SMU Cox team. In addition to his roles in admissions and career, Jason has also coached numerous winning MBA case competition teams and co-authored *Case In Point: Case Competition*, a guide to creating strategy presentations for competitions and interviews. He lives in McKinney with his wife and daughter.

Ellis Thomas is Co-Founder & Executive Director of STREAM. She graduated from Auburn University with a Bachelor of Science in Education and was an educator at Metropolitan Day School, a charter school in Northeast Washington, DC, before moving to Dallas and teaching in the Dallas Independent School District. She is involved with many charitable organizations and social advocacy groups focused on education, mental health and the arts. Ellis' background in education aligns with STREAM's mission of training, educating and mentoring students from a young age in order to establish and maintain their success in the future.

Katie Dillard is the Chief Operating Officer and Director of Partner Relations at Hudson Way Capital Management, LLC. Prior to joining Hudson Way, Mrs. Dillard was a Senior Vice President on the Capital Intelligence team at Jefferies LLC based in Dallas, TX. While at Jefferies, Mrs. Dillard helped build out the firm's prime brokerage business in Dallas while leading the capital introduction effort in Texas and the West Coast. Mrs. Dillard spent two years at San Francisco-based equity long/short fund Pacific Grove Capital as Director of Investor Relations and Business Development. Prior to that, Mrs. Dillard spent five years in institutional equity sales at Credit Suisse. Mrs. Dillard began her career at Houlihan Lokey as an investment banking analyst in the Financial Advisory Services group. Mrs. Dillard earned a B.B.A. in Finance from Texas Christian University and a degree in Culinary Arts from the Culinary Institute of LeNôtre.

Alice Kennon *is a Managing Director at Jefferies where she looks after Prime Brokerage Sales for Texas and the West Coast. Alice began her career at Merrill Lynch in London in the Institutional Client Coverage group and then transitioned into Prime Brokerage where she worked in Capital Introductions, Business Consulting and eventually Prime Brokerage Sales. She transferred to the US in 2014 with Bank of America and joined Jefferies in 2019 to help them build out their Prime Brokerage business. Alice has spent a large portion of her career assisting startups in the multiple stages to launch and advising established funds on how to institutionalize their business. Alice currently looks after PB Sales for Texas and the West Coast and splits her time between both regions.*

Stephanie Cadet is a Director at UBS Securities LLC. She is a 17 year sell side veteran, who has been based in both Texas and New York during her tenure. Stephanie started her career at Lehman Brothers, then spent some time at a couple of mid-sized firms, CLSA, then BTIG. She joined UBS about a year ago. Her mandate at all four firms was/is always to expand her firm's equity research platform via driving deeper engagement between her client set and her firm's research products. What Stephanie enjoys the most about her role is the relationship building process that comes with sharing intellectual capital and delivering value to her client's investment process. Stephanie is a first generation Filipino-American, and graduated *Magna Cum Laude* from Boston University with a Bachelors in History.

Kevin Thompson is the President and CEO of 9Innings Capital Group LLC. As a Wealth Management Advisor, Kevin facilitates the financial professional/client relationship by helping to analyze one's financial needs while developing a framework that can best address one's objectives. The 9Innings Capital Group philosophy is a system that allows the client to understand their entire financial picture under one platform which allows for the advisor to discover waste and ways to help the client manage risk. Kevin received his BBA in Finance in 2011 from the University of Texas at Arlington. Kevin has obtained his Series 7, 24, 63, 66 and Group 1 licenses. Kevin is a Certified Financial Planner™ professional and a Retirement Income Certified Professional®. Before the financial industry, Kevin played major league baseball for the New York Yankees and Oakland Athletics. Born and raised in Fort Worth, Kevin Thompson is proud to call Cowtown his home. He resides in town with his beautiful wife Sherae and their son Braxton Richard Wolfgang.

Daryl Chambers is a Financial Professional at 9Innings Capital Group LLC. As a Financial Professional, Daryl helps analyze clients at various financial stages of their lives, to help guide them to meeting their short term and long-term objectives. Daryl received his BBA in Finance and Accounting in 2002 from Texas Christian University. Daryl has obtained his Group 1 license and is in the process of obtaining his securities licenses. Before entering the financial industry, Daryl worked most of his career in the Private Equity industry as an accountant at Sixth Street, Crestline Investors and TPG. Daryl was appointed by Governor Greg Abbot to the board of the Texas Board of Nursing (BON) in February 2021 and is serving a six (6) year term as a public board member. Daryl also serves as an advisory committee member at STREAM.

Dawn Blankenship is the Founding Partner and Chief Operating Officer of Socorro Asset Management, an institutional long only, multi-asset management firm based in Dallas, Texas. Ms. Blankenship is responsible for developing and managing the Operations, Compliance, Investor Relations and Business Development for Socorro. Prior to forming Socorro in 2019, Ms. Blankenship was the Director of Investor Relations and Business Development for Brenham Capital, an institutional long/short equity fund focused on the energy sector. Ms. Blankenship was an integral part of building the firm from $150 million to $1.1 billion in assets under management in addition to serving on the executive, operational, and compliance committees. She previously served as Director of Investor Relations for Stonelake Capital, a private equity real estate fund. Prior to Stonelake, Ms. Blankenship was the Director of Investor Relations and Business Development for Walker Smith Capital, a $1 billion long/short equity hedge fund. She began her career on the investor relations team at Carlson Capital, a global multi-strategy hedge fund, and was also involved in the investor relations and due diligence for Black Diamond Multi-Manager Fund, a fund of hedge funds managed by Carlson Alternative Advisors, L.P. Ms. Blankenship is a graduate of Texas Christian University with a Bachelor of Business Administration in Accounting and Finance. She is a founding board member of Capital for Kids, an advisory board member of Dallas Children's Advocacy Center, and a board member for STREAM, a nonprofit focused on Strengthening Racial Equity in Asset Management.

Ken Lee is the Chief Investment Officer of Children's Health System of Texas. Based in Dallas, Mr. Lee is responsible for overseeing the System's investable assets, including those of the Children's Medical Center Foundation. Prior to joining Children's Health, Mr. Lee was a Managing Director in the Investments department at Carnegie Corporation of New York. At Carnegie, Mr. Lee led a range of investments, including global equities and absolute return strategies in the public markets, as well as venture capital and growth equity partnerships in the private markets.

Mr. Lee previously served as a senior investment professional in the New York and London offices of Fauchier Partners, which managed hedge fund investment programs on behalf of pensions, endowments, single family offices and government entities outside of the United States. In the past, Mr. Lee was an investment professional at Horsley Bridge Partners, a private equity fund-of-funds manager, in San Francisco and London. Mr. Lee began his career at Robertson Stephens, a boutique investment bank in San Francisco focused on growth companies. Mr. Lee serves on the board of trustees of the Samuel H. Kress Foundation and the finance committee of the Dallas Museum of Art. Mr. Lee holds an MBA from the Wharton School at the University of Pennsylvania, and a BA from Yale College, where he studied both Economics and East Asian Studies, with a focus on the History of Art. He is married and has one daughter.

Laurie Katz is responsible for sourcing and overseeing a large client base of pension funds, institutions, endowments, foundations, family offices and fund of funds. Ms. Katz is Co-Head of the firm's Culture Committee. As one of GoldenTree's first employees, hired in 2000 as part of the Trading Team, Ms. Katz has helped develop, staff and manage several of the key infrastructures within the firm including Operations and Client Service departments as the firm developed in its early years. Prior to joining GoldenTree, Ms. Katz was an Associate/ Assistant Trader at First Dominion, where she was responsible for the Operations of three collateralized debt obligations, including issues related to closing structured products, developing relationships with underwriters and portfolio valuations. Prior to First Dominion, Ms. Katz held a similar position within the Capital Markets division of CIBC. Ms. Katz is a graduate of New York University. Ms. Katz is actively involved in the hedge fund industry, and is a member of several organizations including AIMSE, 100 Women in Finance Angel Program & Leadership Circle, and High Water Women. Ms. Katz was recognized as a Rising Star by Institutional Investor in 2012. In 2013, Ms. Katz was chosen as a member of the UJA-Federation's inaugural 40 Under 40 Industry Leaders Initiative. Ms. Katz currently serves on the Boards of Chick Mission, Women Rising and also Encouraging Women Across All Borders.

Quincy Brown is a Senior Vice President with FEG Investment Advisors, an independent, full-service investment advisory firm with more than three decades of experience helping institutional investors build portfolios with over $80 billion assets under advisement. Quincy has 25 years of industry experience working with a broad array of clients. In addition, as FEG's Director of Client Service, Quincy has a unique understanding of client needs and he leads by example, demonstrating the value of active listening, collaboration, and partnership. Quincy has advised largely nonprofit clients, including colleges and universities, community foundations, private foundations, healthcare systems, religious entities and charitable organizations for decades, serving these relationships his entire tenure with FEG. Within FEG, Quincy leads or serves on various committees, including Diversity Equity and Inclusion, Responsive Investment, Culture, Business Continuity and Technology Steering. Quincy graduated from The Ohio State University with Bachelor of Science in both Accounting and Finance.

Andrew J. Rosell is a shareholder of Winstead PC. He practices corporate law and leads the firm's Investment Management and Private Funds Industry Group. Mr. Rosell has a diverse practice representing private investment fund managers, wealth managers, mutual fund managers, family offices and private companies engaged in strategic transactions. His primary expertise centers on representing registered investment advisers in all aspects of their business, including: formation and structures, regulatory compliance, strategic transactions, investment portfolio transactions, due diligence, and liquidation. Currently, Mr. Rosell serves on the board of trustees of the Cook Children's Medical Center Foundation where he serves on the audit committee, the board of directors of the Cook Children's Medical System and is chair of the board of directors of the Cook Children's Health Plan. Mr. Rosell also serves on the board of directors for Capital for Kids and the board of directors for STREAM. Mr. Rosell also serves on the Emerging Leaders Board of the Dedman School of Law at Southern Methodist University. Mr. Rosell earned his BBA and JD from Southern Methodist University.

Ryan Bailey is currently a founding Managing Partner at Carbonado Partners, where he oversees all research and sourcing efforts for funds and direct deals across all asset classes. Previously, Ryan served as a Managing Partner at Pacenote Capital, where he led their research and sourcing efforts. Prior to, Ryan functioned as the Head of Investments at Children's Health System of Texas, managing the investment strategy of $1.8 billion in assets. As the Hospital's inaugural investment officer, Ryan established the department, designing and implementing the systems, processes, and governance structures required in the formation of a top tier endowment office. Before Children's Health, Ryan served as Investment Officer and Interim CIO of the Meadows Foundation. Ryan graduated from Yale University with a Bachelor of Arts degree in Economics. He holds a number of certifications including: CFA, FRM, CAIA, and CMT designations.

Stephen Thomas is the Founder and Portfolio Manager of Webs Creek, a Dallas-based energy focused investment firm. Prior to founding Webs Creek, he was a Co-Founder, Partner and the Director of Research at Brenham Capital. Stephen's primary responsibilities included idea generation, investment analysis, and portfolio management. In addition, he led the recruitment, development and management of the investment team. He began his career at Pritchard Capital Partners, an energy-focused investment bank. Stephen was raised in Charlotte, NC and graduated from Auburn University with a B.A. in Political Science. He serves as a Director of West Dallas Community School, Chairman of the Endowment Committee and a member of the Board of Trustees at Girls Preparatory School in Chattanooga, TN, and on the Leadership Development Board at Auburn University. He is also co-founder and Advisory Committee member of STREAM Foundation.

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